

# Indexed linear logic and higher-order model-checking

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# Model-checking higher-order programs

Usual approach in verification: **model-checking**.

- Build a **model** of a program
- Specify a property in an appropriate **logic**
- Make them **interact** in order to determine whether the program satisfies the property.

Interaction is often realized by translating the formula into an equivalent **automaton**, which then runs over the model.

# Model-checking higher-order programs

This work is concerned with the verification of **higher-order functional programs**, as Java for instance.

They will be modelled by **recursion schemes**.

Properties will be expressed in **MSO** or **modal  $\mu$ -calculus** (equi-expressive over trees).

Their automata counterpart is given by **alternating parity automata** (APT).

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This model-checking problem is **decidable** (Ong 2006).

Several proofs of this result were given.

Most of them rely on **semantics**.

Here we focus on the Kobayashi-Ong approach (2009), which uses **intersection types**.

Our aim is to **deepen the semantic understanding** we have of this result, using existing relations between **intersection types**, **linear logic** and its **models**.

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# Higher-order recursion schemes

**Idea:** it is a kind of grammar whose parameters may be functions and which generates trees.

Alternatively, it is a formalism equivalent to  $\lambda Y$  calculus with uninterpreted constants from a ranked alphabet  $\Sigma$ .

# A very simple functional program

```
Main      = Listen Nil
Listen x  = if end then x else Listen (data x)
```

With a recursion scheme we can model this program and produce its **tree of behaviours**.

Note that constants are not interpreted: in particular, a recursion scheme does not evaluate a *if*.



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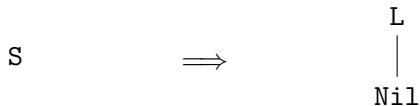
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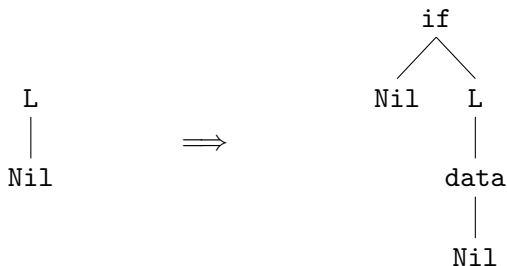
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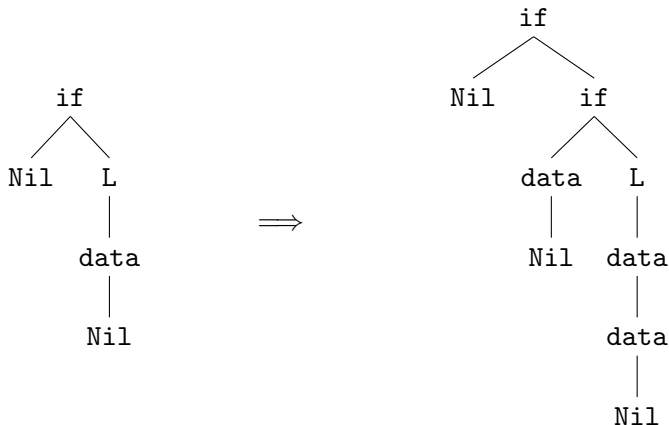


Notice that **substitution and expansion occur in one same step.**

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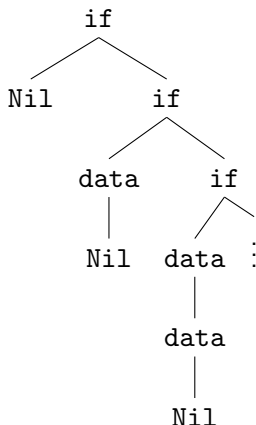
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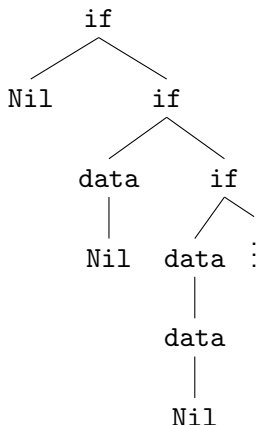


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# Alternating parity tree automata

Modal  $\mu$ -calculus is an extension of boolean logic over a branching structure, with fixpoints and quantifications over the successors of the current position.

It allows to **unravel** some formula over the structure. This can be encoded into an **alternating parity tree automata** (APT).

Its states are the subformulas of the encoded formula.

# Alternating parity tree automata

APT are non-deterministic tree automata whose transitions may **duplicate** or **drop** a subtree.

Example:  $\delta(q_0, \text{if}) = (2, q_0) \wedge (2, q_1)$ .

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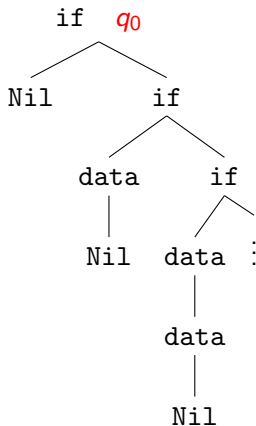
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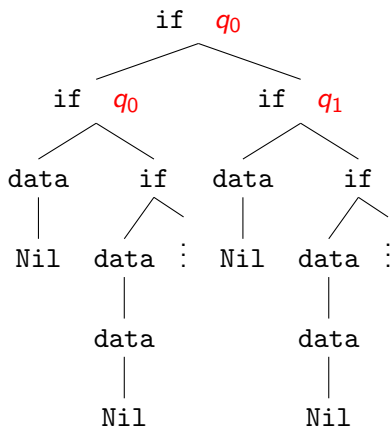
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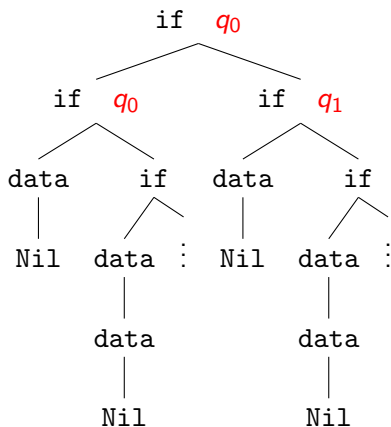
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# Model-checking higher-order programs

Since the trees produced by schemes are not regular, they have no good finitary representation — except the scheme which produces them.

# Model-checking higher-order programs

Kobayashi remarked in 2009 that a transition

$$\delta(q, a) = (1, q_0) \wedge (1, q_1) \wedge (2, q_2)$$

may be understood as a refinement of the simple typing  $a : \perp \rightarrow \perp \rightarrow \perp$  with intersection types:

$$a : (q_0 \wedge q_1) \rightarrow q_2 \rightarrow q :: \perp \rightarrow \perp \rightarrow \perp$$

Note that the simple type is explicit. In this approach, intersection types **must** refine simple types.

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A very important consequence is that these refined types naturally **lift to higher-order**.

This way, the action of the APT over the infinitary, non-regular value tree of the scheme **can be reflected in the finite representation** that is its equivalent  $\lambda Y$ -term.

This is the core idea of the decidability result.

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# Kobayashi's intersection type system

Here is a variant of Kobayashi's original 2009 type system:

$$\text{Axiom} \quad \frac{\vdash \tau_j :: \kappa \quad (\forall j \in J)}{\Gamma, x : \bigwedge_{j \in J} \tau_j :: \kappa \vdash x : \tau_j :: \kappa}$$

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# Kobayashi's intersection type system

Intersections are stable under **surjective reindexing**  $f : J \rightarrow I$ :

$$\bigwedge_{j \in J} \sigma_{f(j)} = \bigwedge_{i \in I} \sigma_i$$

for every family  $\{\sigma_i \mid i \in I\}$  of refinement types indexed by a finite set  $I$ .

In particular, for the surjection  $\{1, 2\} \rightarrow \{1\}$ ,

$$\sigma \wedge \sigma = \sigma$$

## Kobayashi's 2009 result

(rephrased a little)

Let  $t$  be a term of ground type (that is, a term which evaluates to a tree).

If an alternating automaton has a run-tree over the tree obtained by evaluating  $t$ , then there exists a context  $\Gamma$  such that  $\Gamma \vdash t : q_0 :: \perp$ .

Moreover, the intersection types occurring in  $\Gamma$  correspond to the transition function of the automaton.

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# Models

We would like to understand this result **in a model interpretation**.

It suggests that model-checking a term  $t$  of simple type  $A$  may be performed **compositionally**

- by computing  $\|A\|$   
(intuitively, the set of all intersection types refining  $A$ )
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# Linear decomposition of the intuitionistic arrow

In **linear logic**, the intuitionistic arrow  $A \Rightarrow B$  is interpreted as

$$!A \multimap B$$

where

- $!A$  is a **collection** of elements of  $A$  (possibly none)
- and the linear arrow  $\multimap$  uses its arguments exactly once

By interpreting the base type  $\perp$  with the set of states  $Q$  of an alternating automaton, we get for example that an element of the interpretation of  $\perp \Rightarrow \perp$  is some collection of states giving a new state.

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# Intersection types and models of linear logic

Several interpretations of the word *collection* are possible, and give different models.

- **qualitative** models, in which  $!A$  is interpreted as the **powerset** of  $A$
- and **quantitative** models, in which  $!A$  is interpreted as the set of **multisets** of elements of  $A$

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Consider again the typing

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In a **qualitative model**, the interpretation of  $a$  will be a subset of  $\mathcal{P}(Q) \times \mathcal{P}(Q) \times Q$ .

Translated to the model:  $(\{q_0, q_1\}, \{q_2\}, q) \in \llbracket a \rrbracket$ .

Such models contain information on the states which were used by a term, but not about how many times they did.

They correspond to idempotent types.

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**They correspond to idempotent types.**

# Intersection types and models of linear logic

But these models are quite complicated, because the interpretations must be closed for some order.

What about the **quantitative** model  $Rel$ , in which we could interpret the interaction of a term and an automaton ?

# The relational model

We consider a relational model where

- $\|\perp\| = Q$ ,
- $\|A \multimap B\| = A \times B$ ,
- $\|!A\| = \mathcal{M}_{fin}(A)$

Morally,  $\|A \Rightarrow B\| = \mathcal{M}_{fin}(A) \times B$ .

# The relational model: interpretation of intersection types

$\mathcal{M}_{fin}(A)$  is the set of **finite multisets** of elements of  $A$ .

What does it imply for the interpretation of intersection types ?

$$[q, q] \neq [q]$$

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# Non-idempotent types

In a first step towards the relational model, we

- consider a sequent version of Kobayashi's system,
- with only terms in  $\eta$ -long  $\beta$ -normal form,
- and where intersections are no longer closed under surjective reindexing.

This gives the quantitative intersection type system.

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- *If  $\Gamma \vdash t : \sigma : \kappa$  in the quantitative system, then  $|\Gamma| \vdash t : |\sigma| :: \kappa$  in Kobayashi's system.*

where  $|\Gamma|$  and  $|\sigma|$  are obtained by assuming stability under surjective reindexing.

# Non-idempotent types

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- *If  $\Gamma \vdash t : \sigma : \kappa$  in the quantitative system, then  $|\Gamma| \vdash t : |\sigma| :: \kappa$  in Kobayashi's system.*
- *If  $x_1 : \sigma_1 :: \kappa_1, \dots, x_n : \sigma_n :: \kappa_n \vdash t : \tau : \kappa$  in Kobayashi's system, there exists quantitative types  $\hat{\sigma}_i$  ( $1 \leq i \leq n$ ) and  $\hat{\tau}$  such that*
  - ▶  $\forall i \in \{1, \dots, n\} \quad \hat{\sigma}_i :: \kappa_i$  and  $\hat{\tau} :: \kappa$ ,
  - ▶  $\forall i \in \{1, \dots, n\} \quad |\hat{\sigma}_i| \preceq \sigma_i$  and  $|\hat{\tau}| \preceq \tau$ ,
  - ▶  $x_1 : \hat{\sigma}_1 :: \kappa_1, \dots, x_n : \hat{\sigma}_n :: \kappa_n \vdash t : \hat{\tau} : \kappa$  in the quantitative system.

Roughly speaking, this means that lifting a qualitative type to a quantitative type may give a **more precise** type.

# Non-idempotent types

Interestingly enough, this order comes from a qualitative model of linear logic (the Scott model – which is very close to this variant of Kobayashi's intersection type system).

This lifting/collapse theorem is strongly related to Ehrhard's result of **extensional collapse** for models of linear logic.

# Indexed linear logic

The quantitative type system leads naturally to an interpretation in *Rel* of the model-checking problem.

However, this model contains strictly more than denotations of terms.

Actually, if a term uses its argument several times, nothing forbids to give the denotation of a different term of the appropriated type for each occurrence.

The whole relational model corresponds to denotations of the lambda calculus with resources.

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# Indexed linear logic

Bucciarelli and Ehrhard characterized **logically** the fragment of the relational model corresponding to terms.

Recall Kobayashi's application rule

$$\text{App} \quad \frac{\Gamma \vdash M : (\bigwedge_{j \in J} \tau_j) \rightarrow \sigma :: \kappa \rightarrow \kappa' \quad \Gamma \vdash N : \tau_j :: \kappa \quad (\forall j \in J)}{\Gamma \vdash MN : \sigma :: \kappa'}$$

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# Indexed linear logic

In this goal, proofs are **parallelized**.

Sequents are indexed by families  $I, J, K \dots$ :

$$\Gamma \vdash_I t : \sigma_i :: A$$

This should be understood as the superposition of  $|I|$  different typing proofs for a same term.

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# Indexed linear logic

In the relational model, the exponential **builds multisets**.

In indexed linear logic, it should only build **uniform** multisets.

This is done with the Promotion rule

$$\frac{\dots x_k : [\sigma_{i_k} \mid i_k \in I_k, u_k(i_k) = j] :: !_{u_k} A_k \dots \vdash_J M : \tau_j :: B}{\dots x_k : [\sigma_{i_k} \mid i_k \in I_k, v(u_k(i_k)) = l] :: !_{v \circ u_k} A_k \dots \vdash_L M : [\tau_j \mid v(j) = l] :: !_v B}$$

where  $v : J \rightarrow L$ .

# Indexed linear logic

How do we create intersection types ?

Consider  $c : q_0 \wedge q_1 :: \perp$  in the quantitative system. We build it with the following derivation:

$$\frac{\frac{\frac{(q_1, q_2) \in Q^2}{c : q_j :: \perp_{\{1,2\}} \vdash_{j \in \{1,2\}} c : q_j :: \perp_{\{1,2\}}}}{c : [q_j] :: !_{id} \perp_{\{1,2\}} \vdash_{j \in \{1,2\}} c : q_j :: \perp_{\{1,2\}}}}{c : [q_1, q_2] :: !_{void} \perp_{\{1,2\}} \vdash_{\{1\}} c : [q_1, q_2] :: !_{\nu} \perp_{\{1,2\}}}}$$

where  $\nu$  is the surjection  $\{1, 2\} \rightarrow \{1\}$ .

# Indexed linear logic

In general, this structuration rule builds **parallel families of multisets**.

$$\frac{\frac{\frac{(q_1, q_2, q_3) \in Q^2}{c : q_j :: \perp_{\{1,2,3\}} \vdash_{j \in \{1,2,3\}} c : q_j :: \perp_{\{1,2,3\}}}}{c : [q_j] :: !_{id} \perp_{\{1,2,3\}} \vdash_{j \in \{1,2,3\}} c : q_j :: \perp_{\{1,2,3\}}}}{c : [q_j \mid v(j) = i] :: !_v \perp_{\{1,2,3\}} \vdash_{i \in \{1,2\}} c : [q_j \mid v(j) = i] :: !_v \perp_{\{1,2,3\}}}}$$

where  $v : \{1, 2, 3\} \rightarrow \{1, 2\}$  maps 1 to 1, and 2 and 3 to 2.



# Indexed linear logic

There is a translation theorem between the quantitative type system and the indexed linear calculus.

Moreover, the derivations of the ILC can be reconstructed from ILL — that is, removing the term and the intermediate level.

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We do not need terms anymore, thanks to the logical structuration of the proof.

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We do not need the elements of the relational model. They can be reconstructed from the Axiom information ( $\eta$ -long form is crucial here).

# Indexed linear logic

There is a translation theorem between the quantitative type system and the indexed linear calculus.

Moreover, the derivations of the ILC can be reconstructed from ILL — that is, removing the term and the intermediate level.

As a consequence, we obtain a translation between derivations in intersection type systems and relational denotations of terms.

# Higher-order model checking

Two major issues of the model-checking problem were not adressed so far:

- recursion
- and parity conditions

# Higher-order model checking

Recursion can be added with the rule

$$\text{Fix} \quad \frac{\Gamma \vdash_K M : [\tau_j | j \in u^{-1}(k)] \multimap \sigma_k :: !_u C \multimap A \quad \Delta \vdash_J YM : \tau_j :: C}{\Gamma, !_u \Delta \vdash_K YM : \sigma_k :: A}$$

where  $C$  and  $A$  need to **refine the same simple type**.

This rule reflects recursion in an **infinitary** variant of the relational model.

# Higher-order model checking

We discovered recently that the parity condition of the APT can be **integrated within typing derivations**.

A new modality, carrying the colouring information, is introduced. It is a **comonad** and thus acts in a way which is similar to the exponential of linear logic.

A notion of **winning derivation** is then defined — it is a parity condition over derivation trees.

Again, this leads to extensions of the relational model and of ILL, which preserve their mutual connection.



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# Conclusions and perspectives

- We studied the relation between different intersection policies and logical models.
- We related
  - ▶ qualitative models and idempotent intersection types,
  - ▶ quantitative models and non-idempotent intersection types,
  - ▶ idempotent and non-idempotent intersection types, a result whose model-theoretic counterpart is Ehrhard's extensional collapse theorem.
- Logical characterizations permitted us to **extend models** with constructions for interpreting verification problems (recursion, infinite multisets, colouration).
- Moreover, this semantic investigation lead us to a better understanding and a **clearer version** of the Kobayashi-Ong type system, with strong links to game semantics.

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