

# Verifying properties of functional programs using modal extensions of linear logic

Charles Grellois  
(partly joint with Melliès)

FOCUS Team – INRIA & University of Bologna

Présentation à l'équipe LIRICA  
June 12, 2017

# Charles Grellois — Parcours

## Emplois

- Jan 2016 – Postdoc INRIA  
Bologne
- 2e sem 2015 Research Assistant  
Dundee
- 2012-2015 Doctorant Moniteur  
PPS & LIAFA, Paris 7
- 2008-2012 Fonctionnaire Stagiaire  
ENS Cachan

## Formation

M2 Informatique Théorique  
MPRI

M2 Mathématiques  
Fondamentales, Paris 6

## Mobilité

Bologne (20 mois)

Dundee (5 mois)

Oxford (3 + 1 mois)

Turku (3 mois)

# Thèmes étudiés en lien avec l'équipe

- Logique
  - ▶ connaissances de base en théorie de la preuve (calcul des séquents, élimination des coupures, un peu de méthode des tableaux...)
  - ▶ en particulier logique linéaire et ses sémantiques (dénotationnelles, modèles de jeux...)
  - ▶ aussi, preuves circulaires
- Logique et automates (MSO,  $\mu$ -calcul modal, automates à parité...)
- Théorie des catégories, notamment en lien avec la sémantique
- Actuellement : programmation probabiliste et liens avec l'IA (machine learning)

Aujourd'hui : on va parler de logique (linéaire, modale) et automates.

# Functional programs, Higher-order models

# Imperative vs. functional programs

- Imperative programs: built on finite state machines (like Turing machines).

Notion of state, global memory.

- Functional programs: built on functions that are composed together (like in Lambda-calculus).

No state (except in impure languages), higher-order: functions can manipulate functions.

(recall that Turing machines and  $\lambda$ -terms are equivalent in expressive power)

# Imperative vs. functional programs

- Imperative programs: built on finite state machines (like Turing machines).

Notion of state, global memory.

- Functional programs: built on functions that are composed together (like in Lambda-calculus).

No state (except in impure languages), higher-order: functions can manipulate functions.

(recall that Turing machines and  $\lambda$ -terms are equivalent in expressive power)

## Example: imperative factorial

```
int fact(int n) {  
    int res = 1;  
    for i from 1 to n do {  
        res = res * i;  
    }  
    return res;  
}
```

Typical way of doing: using a **variable** (change the state).

## Example: functional factorial

In OCaml:

```
let rec factorial n =
  if n <= 1 then
    1
  else
    factorial (n-1) * n;;
```

Typical way of doing: using a **recursive function** (don't change the state).

In practice, **forbidding global variables** reduces considerably the number of bugs, especially in a parallel setting (cf. Erlang).

# Advantages of functional programs

- Very mathematical: calculus of functions.
- ... and thus very much studied from a mathematical point of view.  
This notably leads to **strong typing**, a marvellous feature.
- Much **less error-prone**: no manipulation of global state.

More and more used, from Haskell and Caml to Scala, Javascript and even Java 8 nowadays.

Also emerging for **probabilistic programming**.

Price to pay: **analysis of higher-order constructs**.

# Advantages of functional programs

Price to pay: analysis of higher-order constructs.

Example of higher-order function: `map`.

`map`  $\varphi$  [0, 1, 2]      returns       $[\varphi(0), \varphi(1), \varphi(2)]$ .

Higher-order: `map` is a function taking a function  $\varphi$  as input.

# Semantics of linear logic and higher-order model-checking

**Linear logic:** a logical system with an emphasis on the notion of *resource*.

**Model-checking:** a key technique in *verification* — where we want to determine *automatically* whether a program satisfies a specification.

**My thesis:** linear logic and its semantics can be enriched to obtain new and cleaner proofs of decidability in higher-order model-checking.

# What is model-checking?

# The halting problem

A natural question: does a program always **terminate**?

**Undecidable** problem (Turing 1936): a machine can not always determine the answer.

What if we use approximations?

# Model-checking

Approximate the program  $\longrightarrow$  build a **model**  $\mathcal{M}$ .

Then, formulate a **logical specification**  $\varphi$  over the model.

Aim: design a **program** which checks whether

$$\mathcal{M} \models \varphi.$$

That is, whether the model  $\mathcal{M}$  meets the specification  $\varphi$ .

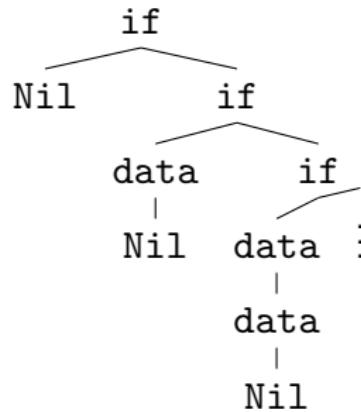
## An example

```
Main      = Listen Nil
Listen x  = if end_signal() then x
            else Listen received_data() :: x
```

## An example

```
Main      = Listen Nil
Listen x   = if end_signal() then x
              else Listen received_data()::x
```

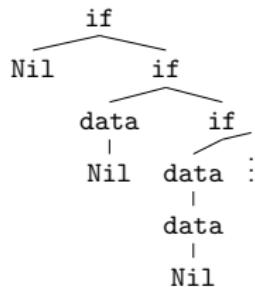
A **tree** model:



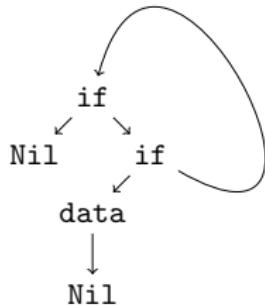
We abstracted **conditionals** and **datatypes**.

The approximation contains a non-terminating branch.

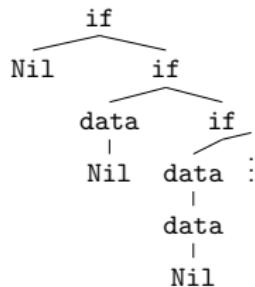
# Finite representations of infinite trees



is not **regular**: it is not the unfolding of a **finite** graph as



# Finite representations of infinite trees



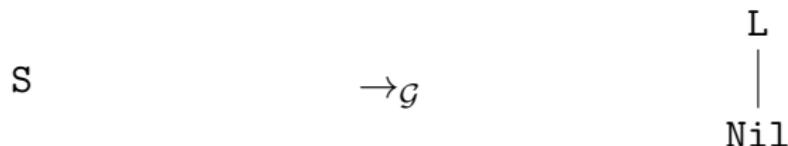
but it is represented by a **higher-order recursion scheme (HORS)**.

# Modeling functional programs using higher-order recursion schemes

# Higher-order recursion schemes

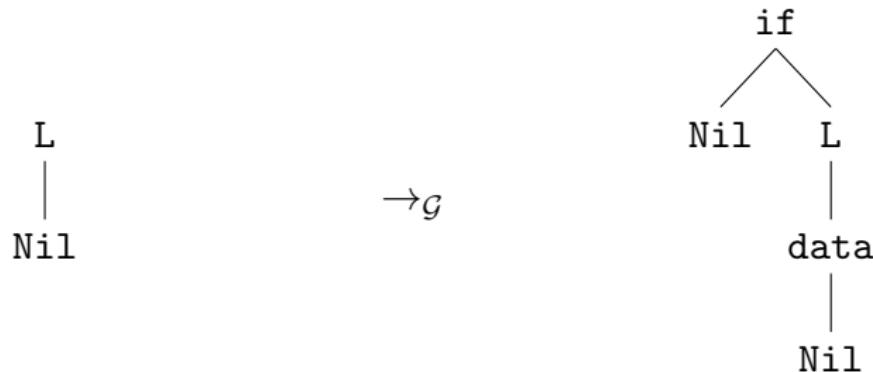
$$\mathcal{G} = \begin{cases} S &= L \text{ Nil} \\ L x &= \text{if } x (L (\text{data } x)) \end{cases}$$

Rewriting starts from the **start symbol** S:



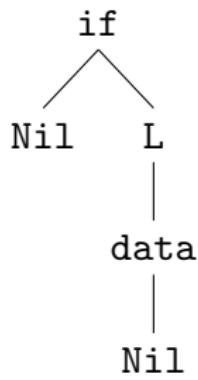
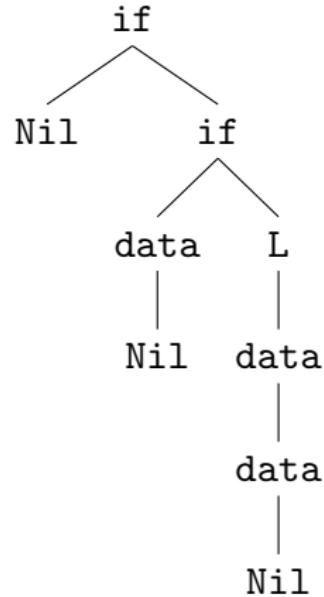
# Higher-order recursion schemes

$$\mathcal{G} = \begin{cases} S &= L \text{ Nil} \\ L x &= \text{if } x (L (\text{data } x)) \end{cases}$$



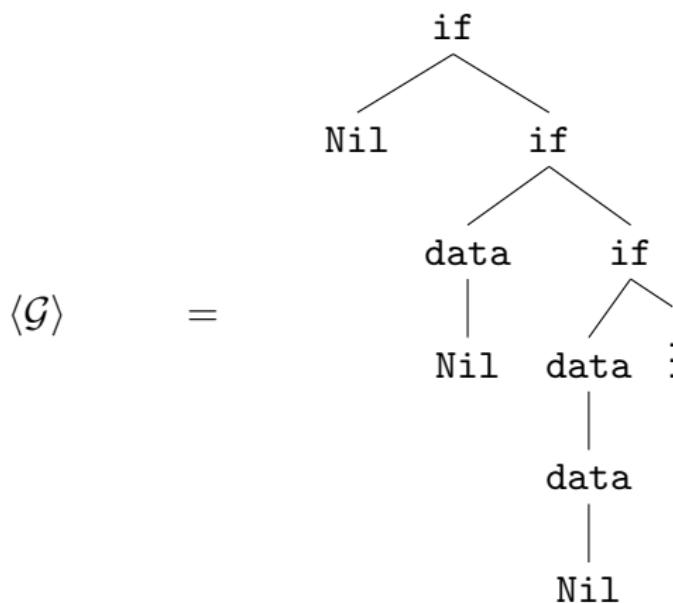
# Higher-order recursion schemes

$$\mathcal{G} = \begin{cases} S &= L \text{ Nil} \\ L x &= \text{if } x (L (\text{data } x)) \end{cases}$$

 $\rightarrow_{\mathcal{G}}$ 

## Higher-order recursion schemes

$$\mathcal{G} = \begin{cases} S &= L \text{ Nil} \\ L x &= \text{if } x (L (\text{data } x)) \end{cases}$$



# Higher-order recursion schemes

$$\mathcal{G} = \begin{cases} S &= L \text{ Nil} \\ L x &= \text{if } x (L (\text{data } x)) \end{cases}$$

HORS can alternatively be seen as **simply-typed**  $\lambda$ -terms with

**simply-typed recursion operators**  $Y_\sigma : (\sigma \rightarrow \sigma) \rightarrow \sigma$ .

# Alternating parity tree automata

Checking specifications over trees

# Monadic second order logic

MSO is a common logic in verification, allowing to express properties as:

“ all executions halt ”

“ a given operation is executed infinitely often in some execution ”

“ every time data is added to a buffer, it is eventually processed ”

It is also equivalent to **modal  $\mu$ -calculus** over trees.

## Alternating parity tree automata

Checking whether a formula holds can be performed using an **automaton**.

For an MSO formula  $\varphi$ , there exists an equivalent APT  $\mathcal{A}_\varphi$  s.t.

$$\langle \mathcal{G} \rangle \models \varphi \quad \text{iff} \quad \mathcal{A}_\varphi \text{ has a run over } \langle \mathcal{G} \rangle.$$

APT = **alternating** tree automata (ATA) + **parity** condition.

# Alternating tree automata

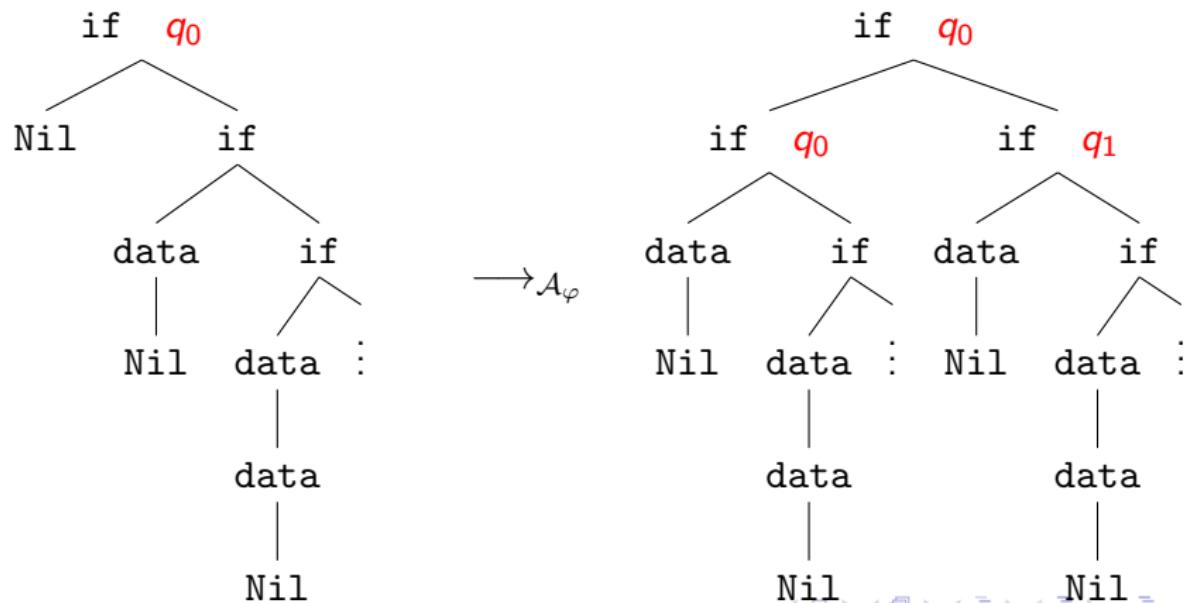
ATA: non-deterministic tree automata whose transitions may duplicate or drop a subtree.

Typically:  $\delta(q_0, \text{if}) = (2, q_0) \wedge (2, q_1)$ .

# Alternating tree automata

ATA: non-deterministic tree automata whose transitions may duplicate or drop a subtree.

Typically:  $\delta(q_0, \text{if}) = (2, q_0) \wedge (2, q_1)$ .

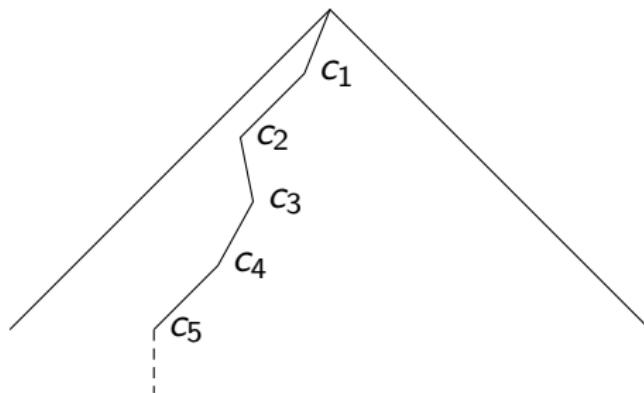


## Alternating parity tree automata

Each state of an APT is attributed a color

$$\Omega(q) \in Col \subseteq \mathbb{N}$$

An infinite branch of a run-tree is winning iff the maximal color among the ones occurring infinitely often along it is even.



## Alternating parity tree automata

Each state of an APT is attributed a color

$$\Omega(q) \in Col \subseteq \mathbb{N}$$

An infinite branch of a run-tree is winning iff the maximal color among the ones occurring infinitely often along it is even.

A run-tree is winning iff all its infinite branches are.

For a MSO formula  $\varphi$ :

$$\mathcal{A}_\varphi \text{ has a winning run-tree over } \langle \mathcal{G} \rangle \quad \text{iff} \quad \langle \mathcal{G} \rangle \models \varphi.$$

# The higher-order model-checking problem (\*)

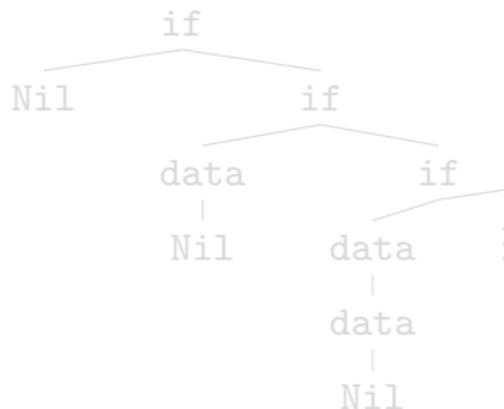
(\*) : there are three but we present just one here

# The (local) HOMC problem

**Input:** HORS  $\mathcal{G}$ , formula  $\varphi$ .

**Output:** true if and only if  $\langle \mathcal{G} \rangle \models \varphi$ .

Example:  $\varphi = \text{"there is an infinite execution"}$



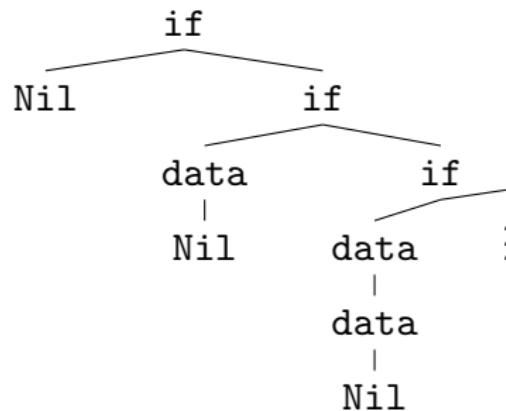
Output: true.

# The (local) HOMC problem

**Input:** HORS  $\mathcal{G}$ , formula  $\varphi$ .

**Output:** true if and only if  $\langle \mathcal{G} \rangle \models \varphi$ .

Example:  $\varphi = \text{"there is an infinite execution"}$



**Output:** true.

# Recognition by homomorphism

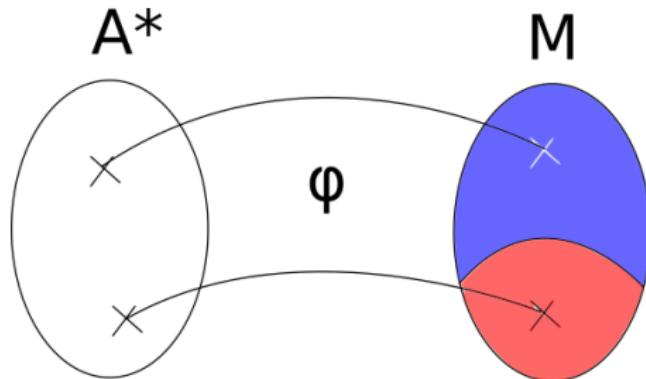
Where semantics comes into play

# Automata and recognition

For the usual **finite** automata on **words**: given a **regular** language  $L \subseteq A^*$ ,

there exists a finite **automaton**  $\mathcal{A}$  recognizing  $L$

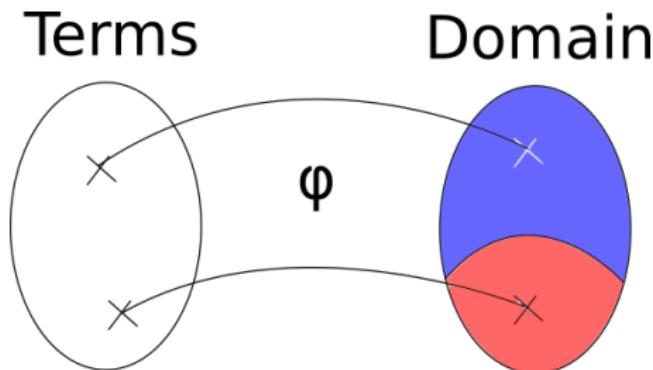
if and only if...



there exists a finite **monoid**  $M$ , a subset  $K \subseteq M$   
and a **homomorphism**  $\varphi : A^* \rightarrow M$  such that  $L = \varphi^{-1}(K)$ .

# Automata and recognition

The picture we want:



(after Aehlig 2006, Salvati 2009)

but with **recursion** and w.r.t. an APT.

# Intersection types and alternation

A first connection with linear logic

# Alternating tree automata and intersection types

A key remark (Kobayashi 2009):

$$\delta(q_0, \text{if}) = (2, q_0) \wedge (2, q_1)$$

can be seen as the intersection typing

$$\text{if} : \emptyset \rightarrow (q_0 \wedge q_1) \rightarrow q_0$$

refining the simple typing

$$\text{if} : o \rightarrow o \rightarrow o$$

# Alternating tree automata and intersection types

In a derivation typing the tree if  $T_1 \ T_2$ :

$$\text{App} \frac{\delta}{\emptyset \vdash \text{if} : \emptyset \rightarrow (q_0 \wedge q_1) \rightarrow q_0} \quad \emptyset \quad \frac{\vdots}{\emptyset \vdash T_2 : q_0} \quad \frac{\vdots}{\emptyset \vdash T_2 : q_1}$$
$$\frac{\emptyset \vdash \text{if } T_1 : (q_0 \wedge q_1) \rightarrow q_0}{\emptyset \vdash \text{if } T_1 \ T_2 : q_0}$$

Intersection types naturally lift to higher-order – and thus to  $\mathcal{G}$ , which **finitely** represents  $\langle \mathcal{G} \rangle$ .

Theorem (Kobayashi 2009)

$\vdash \mathcal{G} : q_0$       iff      the ATA  $\mathcal{A}_\varphi$  has a run-tree over  $\langle \mathcal{G} \rangle$ .

## A closer look at the Application rule

In the intersection type system:

$$\text{App} \quad \frac{\Delta \vdash t : (\theta_1 \wedge \dots \wedge \theta_n) \rightarrow \theta \quad \Delta_i \vdash u : \theta_i}{\Delta, \Delta_1, \dots, \Delta_n \vdash t u : \theta}$$

This rule could be decomposed as:

$$\frac{\Delta \vdash t : (\bigwedge_{i=1}^n \theta_i) \rightarrow \theta' \quad \frac{\Delta_i \vdash u : \theta_i \quad \forall i \in \{1, \dots, n\}}{\Delta_1, \dots, \Delta_n \vdash u : \bigwedge_{i=1}^n \theta_i}}{\Delta, \Delta_1, \dots, \Delta_n \vdash t u : \theta'} \quad \text{Right } \wedge$$

## A closer look at the Application rule

In the intersection type system:

$$\text{App} \quad \frac{\Delta \vdash t : (\theta_1 \wedge \dots \wedge \theta_n) \rightarrow \theta \quad \Delta_i \vdash u : \theta_i}{\Delta, \Delta_1, \dots, \Delta_n \vdash t u : \theta}$$

This rule could be decomposed as:

$$\frac{\Delta \vdash t : (\bigwedge_{i=1}^n \theta_i) \rightarrow \theta' \quad \frac{\Delta_i \vdash u : \theta_i \quad \forall i \in \{1, \dots, n\}}{\Delta_1, \dots, \Delta_n \vdash u : \bigwedge_{i=1}^n \theta_i}}{\Delta, \Delta_1, \dots, \Delta_n \vdash t u : \theta'} \quad \text{Right } \wedge$$

## A closer look at the Application rule

$$\frac{\Delta \vdash t : (\bigwedge_{i=1}^n \theta_i) \rightarrow \theta' \quad \frac{\Delta_i \vdash u : \theta_i \quad \forall i \in \{1, \dots, n\}}{\Delta_1, \dots, \Delta_n \vdash u : \bigwedge_{i=1}^n \theta_i}}{\Delta, \Delta_1, \dots, \Delta_n \vdash t u : \theta'} \text{ Right } \wedge$$

Linear decomposition of the intuitionistic arrow:

$$A \Rightarrow B = !A \multimap B$$

Two steps: **duplication / erasure**, then **linear use**.

Right  $\wedge$  corresponds to the **Promotion** rule of indexed linear logic.  
(see G.-Melliès, ITRS 2014)

# Intersection types and semantics of linear logic

$$A \Rightarrow B = !A \multimap B$$

Two interpretations of the exponential modality:

Qualitative models  
(Scott semantics)

$$!A = \mathcal{P}_{fin}(A)$$

$$\llbracket o \Rightarrow o \rrbracket = \mathcal{P}_{fin}(Q) \times Q$$

$$\{q_0, q_0, q_1\} = \{q_0, q_1\}$$

Order closure

Quantitative models  
(Relational semantics)

$$!A = \mathcal{M}_{fin}(A)$$

$$\llbracket o \Rightarrow o \rrbracket = \mathcal{M}_{fin}(Q) \times Q$$

$$[q_0, q_0, q_1] \neq [q_0, q_1]$$

Unbounded multiplicities

# An example of interpretation

In *Rel*, one denotation:

( $[q_0, q_1, q_1]$ ,  $[q_1]$ ,  $q_0$ )

In *ScottL*, a **set**  
containing the principal  
type

( $\{q_0, q_1\}$ ,  $\{q_1\}$ ,  $q_0$ )

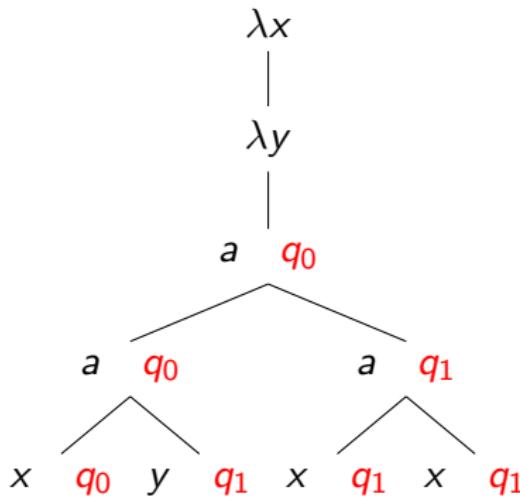
but also

( $\{q_0, q_1, q_2\}$ ,  $\{q_1\}$ ,  $q_0$ )

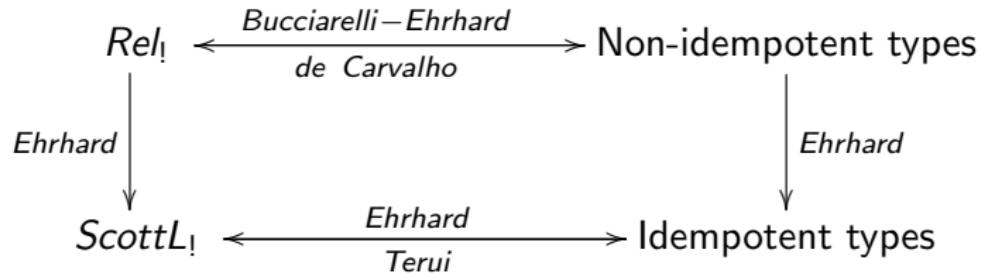
and

( $\{q_0, q_1\}$ ,  $\{q_0, q_1\}$ ,  $q_0$ )

and



# Intersection types and semantics of linear logic



Let  $t$  be a term normalizing to a tree  $\langle t \rangle$  and  $\mathcal{A}$  be an alternating automaton.

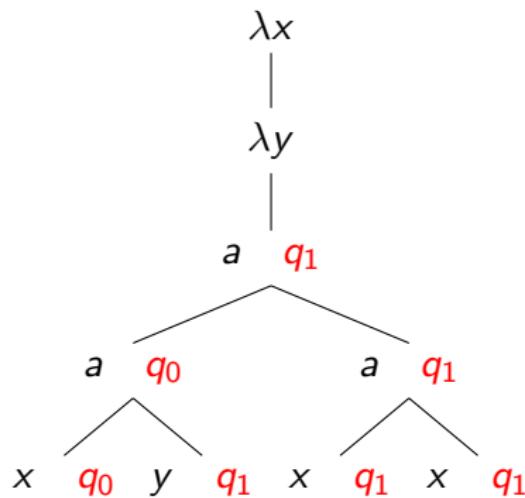
$$\mathcal{A} \text{ accepts } \langle t \rangle \text{ from } q \iff q \in \llbracket t \rrbracket \iff \emptyset \vdash t : q :: o$$

Extension with recursion and parity condition?

# Adding parity conditions to the type system

## An example of colored intersection type

Set  $\Omega(q_0) = 0$  and  $\Omega(q_1) = 1$ .



has now type

$$\square_0 q_0 \wedge \square_1 q_1 \rightarrow \square_1 q_1 \rightarrow q_1$$

Note the color 0 on  $q_0 \dots$

# A type-system for verification

We devise a type system capturing all MSO:

Theorem (G.-Melliès 2014, from Kobayashi-Ong 2009)

$S : q_0 \vdash S : q_0$  admits a winning typing derivation iff the alternating parity automaton  $\mathcal{A}$  has a winning run-tree over  $\langle \mathcal{G} \rangle$ .

We obtain **decidability** by considering **idempotent** types.

Our reformulation

- shows the **modal** nature of  $\square$  (in the sense of S4),
- **internalizes** the parity condition,
- paves the way for **semantic constructions**.

# Colored semantics

We extend:

- $\text{Rel}$  with countable multiplicities, coloring and an inductive-coinductive fixpoint
- $\text{ScottL}$  with coloring and an inductive-coinductive fixpoint.

Methodology: think in the relational semantics, and adapt to the Scott semantics using Ehrhard's 2012 result:

the finitary model  $\text{ScottL}$  is the extensional collapse of  $\text{Rel}$ .

# Finitary semantics

In ScottL, we define  $\square$ ,  $\lambda$  and  $\text{Y}$  using downward-closures.  
 $ScottL_\ell$  is a model of the  $\lambda Y$ -calculus.

## Theorem

An APT  $\mathcal{A}$  has a winning run from  $q_0$  over  $\langle \mathcal{G} \rangle$  if and only if

$$q_0 \in \llbracket \lambda(\mathcal{G}) \rrbracket.$$

## Corollary

The local higher-order model-checking problem is decidable (and is  $n$ -EXPTIME complete).

Similar model-theoretic results were obtained by Salvati and Walukiewicz the same year.

# Conclusion

J'ai également travaillé :

- en **combinatoire des mots** (stage de L3)
- en **algèbre universelle** (mémoire de M2 maths)
- sur la **terminaison des programmes fonctionnels probabilistes** (postdoc à Bologne)

Projet de recherche :

- **model-checking d'ordre supérieur** : aller vers une compréhension logique plus poussée (lien avec les preuves circulaires, les travaux de Luigi Santocanale, de Baelde-Doumane-Saurin...)
- **terminaison probabiliste** : finir mes travaux avec Ugo Dal Lago et essayer d'aller un peu plus loin
- **logiques pour l'IA** (logiques modales non-normales, modalités probabilistes, quantificateurs non-standards) avec Nicola Olivetti
- **je suis ouvert à d'autres collaborations avec LIRICA !**